



Presentation on the assessment of Institutional capacity of Addis Ababa to undertake climate change adaptation programs

Work package 5- D5.3

December 7, 2011

D 5.3 Assessment of the Institutional capacity of the selected cities– Proposed report outline for comment.

Deadline for commenting the proposed report outline is 03. 09.2011

The report submission deadline for case study cities is 30.09.2011

1 Introduction

- aim
- conceptual framework

2 Methods

The methodology involves review and assessment of three aspects: (1) policies, strategies and plans, (2) Governance structure and institutional capacity, and (3) existing and on-going projects

- Review the existing national policies, strategies and plans addressing the features of land use and climate change
- Review the city level policies, strategies and plans addressing the features of land use and climate change
- Assess the degree of current attention to climate change in such policies, strategies and plans
- Identify gaps in the policies, strategies and plans
- Assess the institutional capacities and arrangements of city-level governmental and non-governmental organizations and civil societies for implementing the above policies, strategies, plans and projects.
- Identify gaps in the institutional capacities
 - What existing, on-going and planned initiatives and projects related to climate change adaptation exist in the case study city?
- Identify potential partners (national and city government, academia, NGOs, private sector, consultants, international agencies and donors, etc.) to the case study city in its effort to climate change adaptation.

3Results

4Analysis and Discussion

5Conclusions

- Implications for CLUVA tasks

- WP3 (urban planning and governance)

6References

7Appendices

Outline

- Introduction (aim & conceptual framework)
- Existing national policies, strategies & plans addressing the features of land use & climate change
- City level administrative and governance system, policies, strategies and plans
- Gaps in the institutional capacities, programs and legal frameworks
- Ongoing projects
- Potential partners
- Conclusions

Objective

- To study the interface between institutional system with
 - Risk and vulnerability of eco-systems, infrastructures and local societies
 - Urban structures
 - Planning
- To recommend appropriate (localized) strategies for climate change adaptation

Conceptual framework of institutional assessment

- One of the pillars of sustainability
- No standardized models & solutions
- Principles
 - Decentralization
 - Democracy and legitimacy of government
 - Accountability and transparency
 - Legislative frameworks & rule of law
 - Respect for human right and participation

Conceptual framework

- Issues to be assessed:
 - Policies & legal frameworks
 - Institutional mandates and roles
 - Institutional capacity (human resource...)
 - Vertical & horizontal relationships
 - Ongoing programs & projects

Conceptual framework

- Different levels assessment
- Different methods
 - Federal & city level (top down enabling frame)
 - Policy, programs & legal frameworks review
 - Reports & statistical data
 - Interview of officials & experts
 - Local level (bottom-up frameworks for 3.1WP)
 - Participatory approaches (institutional mapping, Venn diagram, seasonal calendar...)

Interviewed officials & experts

- Federal Environmental Protection Authority (Ato Mohammed)
- Addis Ababa City Mayor Office,
 - Special Advisor to the Mayor (Ato Feleke Yimer)
 - Public & International Relation Directorate (W/o Almaz)
- Addis Ababa Environmental Authority,
 - Environmental Pollution and Impact Monitoring and Control Main Core Process Leader (Ato Seid Abdela)
 - Eco System and Bio Diversity Researcher (Ato Eyob)

Interviewed officials & experts

- Fire & Emergency Prevention Control Agency (Ato Alemayehu Fikru and Ato Mulat)
- Addis Ababa Institute of Urban Planning and Information (Ato Ephrem Bekele)
- ENDA-Ethiopia, Forum for Environment & Climate Change Forum

List of reviewed proclamations, reports & documents

- Federal level
 - FRDE constitution
 - Environmental policy of Ethiopia (April 1997)
 - Ethiopian water sector development policy
 - Urban planning proclamation (proclamation no 574/2008)
 - Draft proclamation of the new urban land lease policy (proclamation no 721/2004)
 - GTP
 - Ethiopian Program of Adaptation to Climate Change

List of reviewed proclamations, reports & documents cont.

- Federal level cont.
 - Environmental protection organs establishment (proclamation no 295/2002)
 - Environmental Pollution Control Proclamation (proc no 300/2002)
 - Urban land development and management policy
 - Construction industry development capacity building creation strategy
 - Urban Development Policy

List of reviewed proclamations, reports & documents cont.

- City level
 - Addis Ababa City Government Climate Change Adaptation Program
 - Revised City Charter of Addis Ababa (proclamation no 361/2003)
 - Addis Ababa City Government Executive and Municipal Organs-Reestablishment Proclamation (Proclamation no 15/2009)
 - 2003-2010 City Development Plan of Addis Ababa

List of reviewed proclamations, reports & documents cont.

- City level cont.
 - Evaluation of the implementation of 2003-2010 City Development Plan
 - Regulation to prevent illegal expansion and possession of land in Addis Ababa City Government (reg. No 14/2004)
 - Regulation for the approval and implementation of the Addis Ababa City Government Structure Plan (reg. No 16/2004)
 - Addis Ababa City Plan Preparation, Issuance and Implementation Proclamation (proc. No 17/2004)

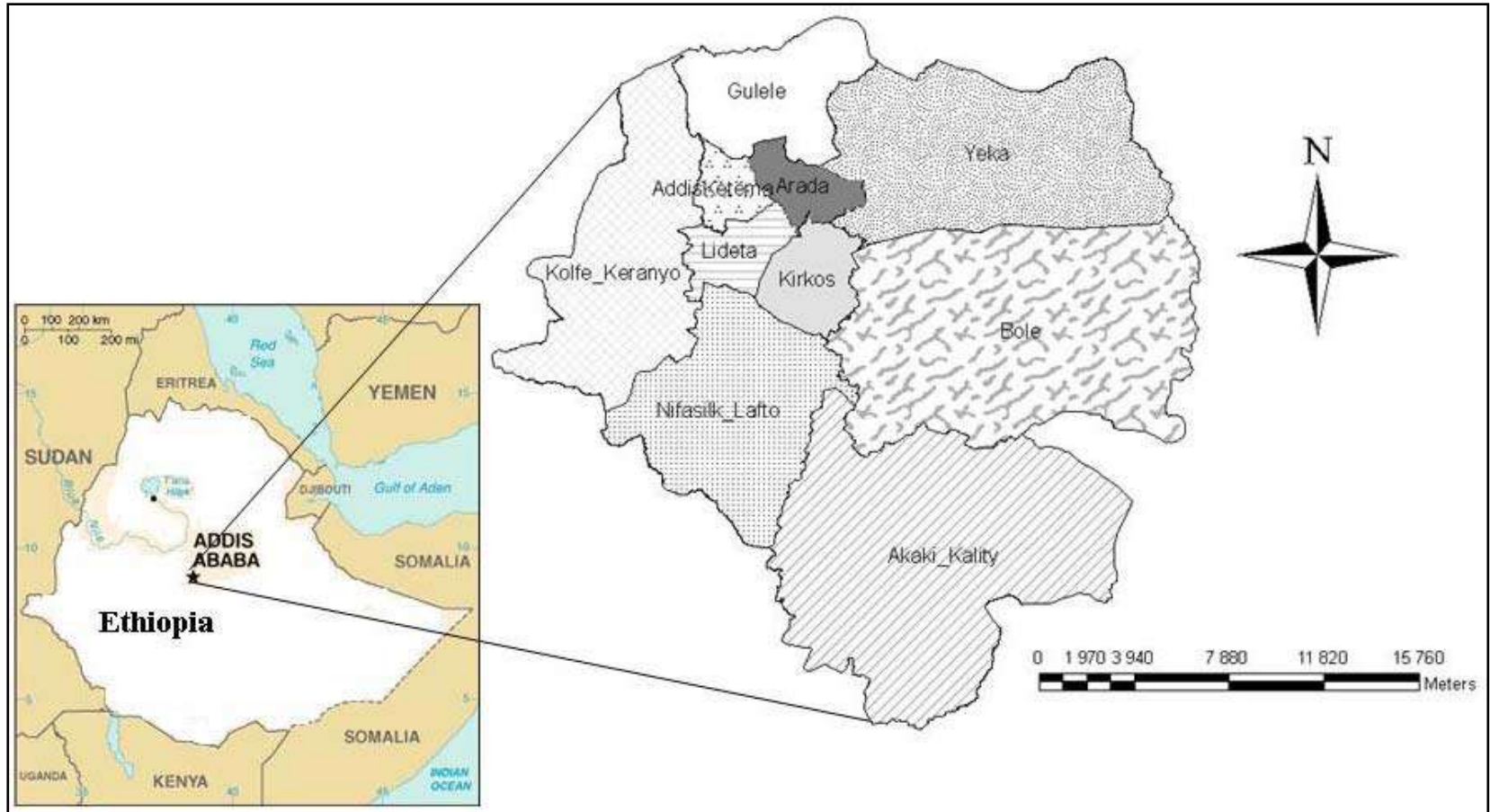
Further conducted activities

- Presented and discussed the conceptual frame, methodology and approach with Addis Ababa City Government sector offices on Oct 18, 2011
- Experts involved/working as part of the team from AAEPa, IUPI & FEPA
- Discussion with Addis cluva team
- Draft document and findings distributed to key sectors for further comment

Planned activities

- Assimilating comments and reflections on the draft document
- Conducting focus group discussion? (to be discussed with Addis Ababa team)
- Finalizing
- Consolidation and compilation with other cities report

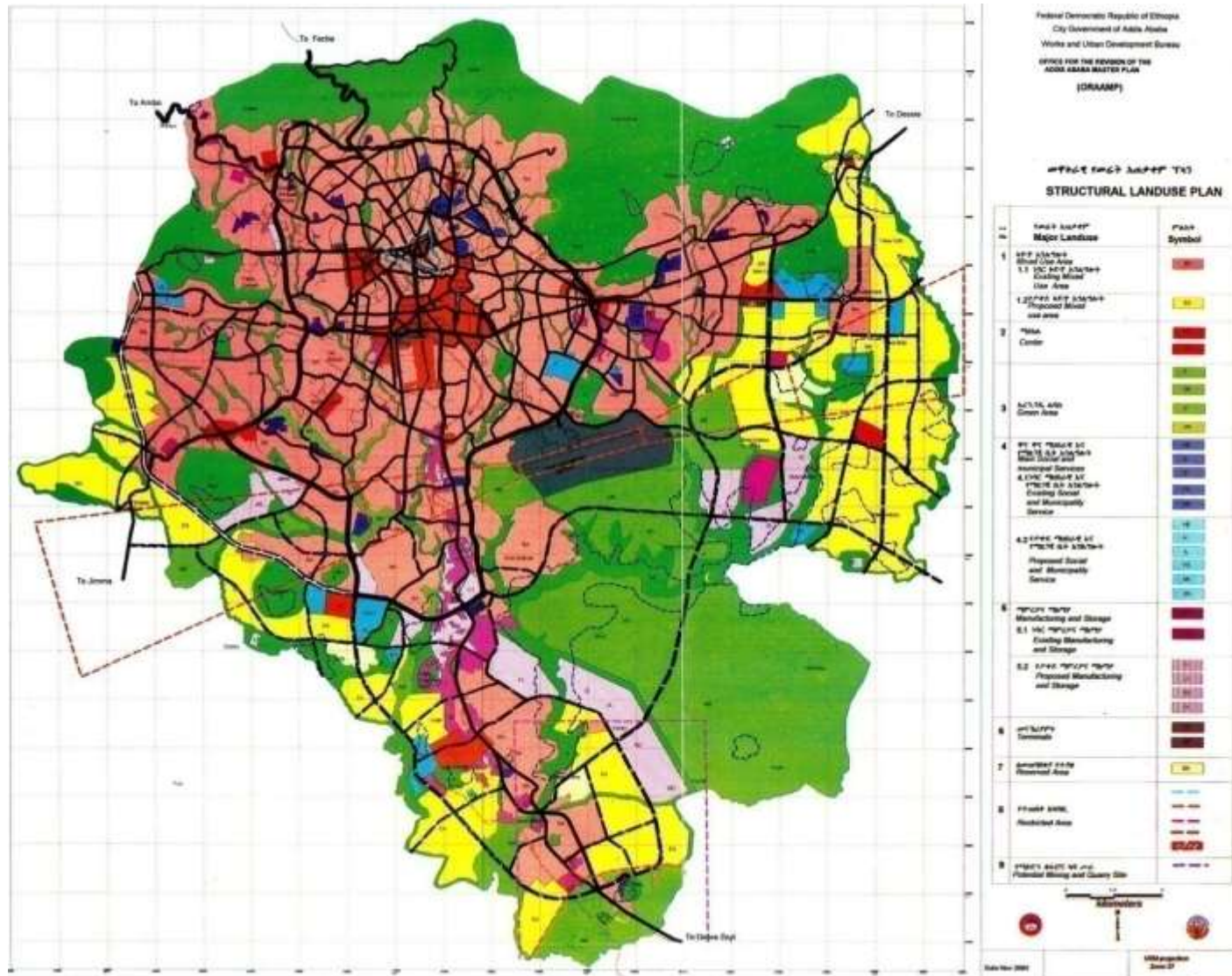
Addis Ababa location and administrative border



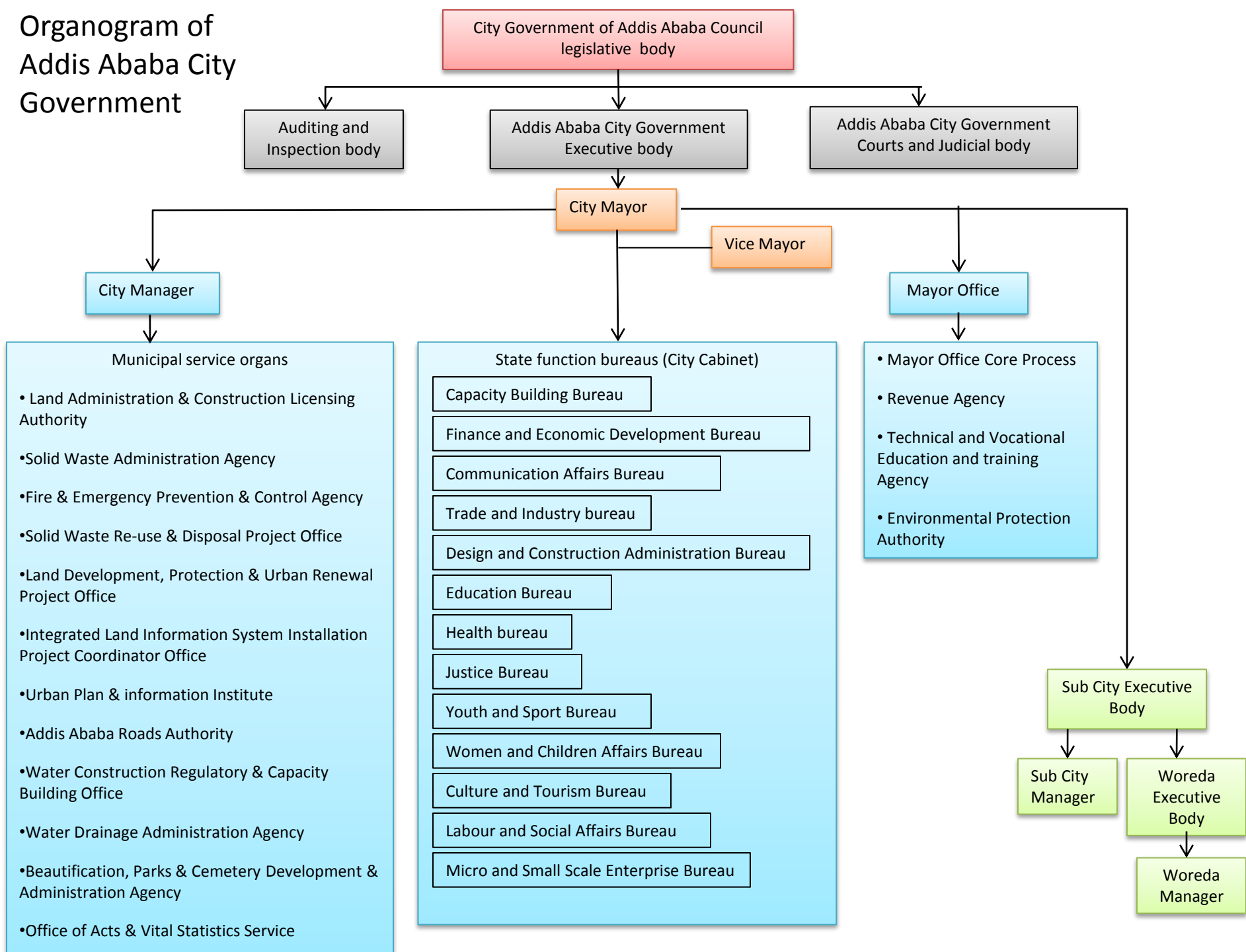
The current Sub city and Woreda divisions



2003-2010 Structure plan of Addis Ababa



Organogram of Addis Ababa City Government



Gaps in the institutional capacities, programs & legal frameworks

- Institutional gaps
 - Poor coordination and integration with NGOs working on climate change
 - Lack of coordination with parallel programs C-40, Clinton foundation...
 - Capacity gap of sectors to streamline climate change programs
 - Complexity of the Addis Ababa City Government accountability

Gaps in the institutional capacities, programs & legal frameworks

- Institutional gaps cont.
 - Frequent reorganization of woredas/kebeles & sector offices
 - Institutional instability, loss of institutional memory, frequent turnover of responsible bodies
 - Negative impact on continuity and consistency of programs & projects
 - Loose relationship between EPA & IUPI

Gaps in the institutional capacities, programs & legal frameworks

- Plans & program gaps
 - Confusion and mixing up of climate adaptation with mitigation
 - Deviation of the focus to climate change mitigation
 - Lack of medium term goal, objectives & short term targets
 - Almost no implementation of the flood master plan of the city
 - Outdated flood master plan of the city

Gaps in the institutional capacities, programs & legal frameworks

- Plans & program gaps cont.
 - Lack of effective strategies to manage the urban edge
 - Lack of integration b/n the City Development plan & sectoral plans
 - Lack of agreed plan & cooperation at metropolitan level
 - Fragmented system of storm water management
 - Role of civic societies not considered

Gaps in the institutional capacities, programs & legal frameworks

- Legislative framework gaps
 - Provision of low level of participation of residents
 - Weak mandate and power of the Planning Institute in monitoring the implementation process
 - Stated objective of structure plan not well articulated in line with LA21
 - No LDPs legally approved
 - Lack of clarity of governing rule structure plan or LDP

Ongoing projects to climate change adaptation

- Environmentally friendly mass transit system project
- Addis Zoo project



Upper catchment rehabilitation program (replacement of exotic trees with indigenous trees)

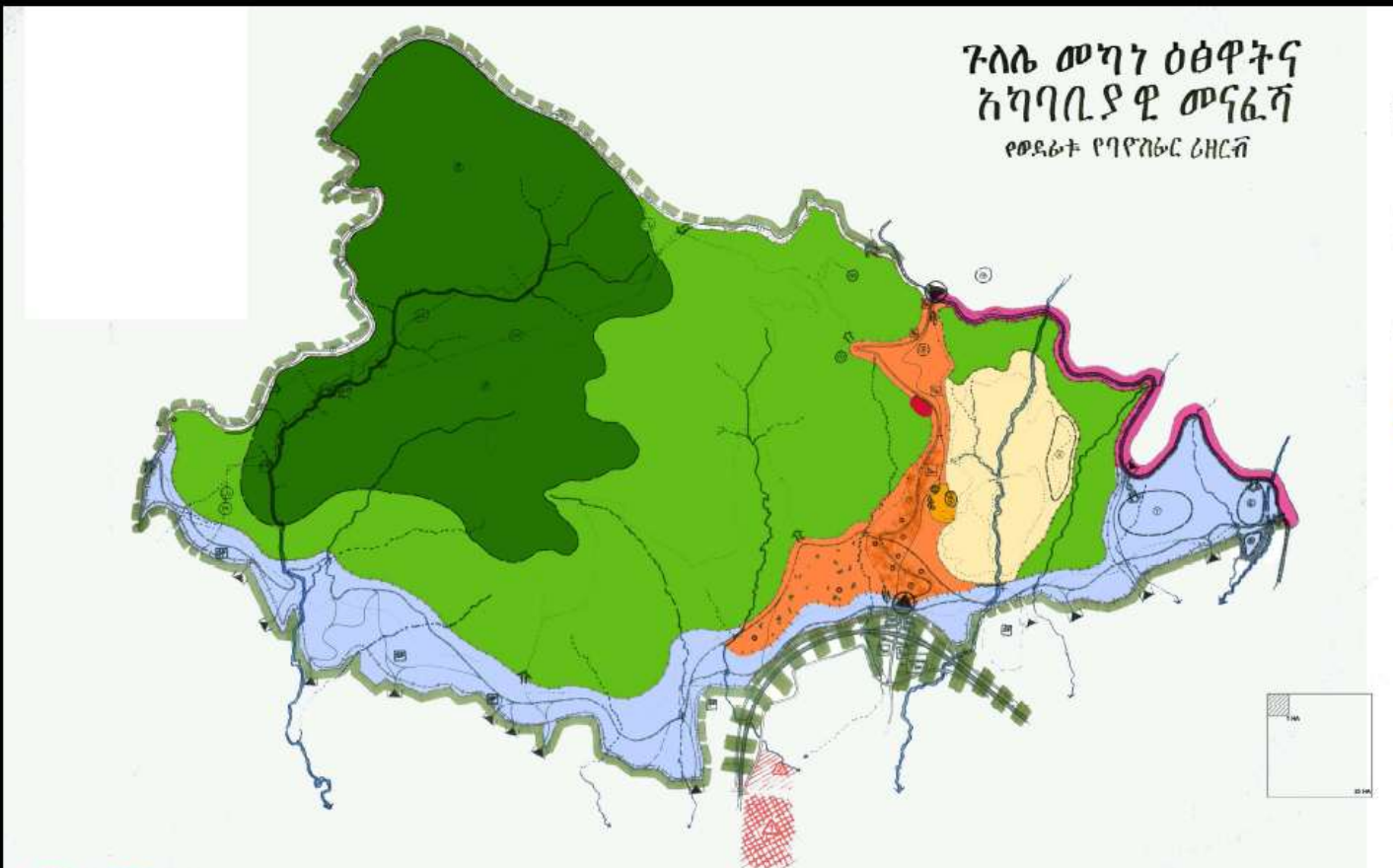


Two trees per inhabitant project



Gulele Botanic Garden

DEVELOPMENT PLAN



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GULELE BOTANICAL GARDEN AND ENVIRONMENTAL PARK
Proposed BIOSPHERE RESERVE

River bank rehabilitation



Jelisa river before and after rehabilitation

Road side park



Urban agriculture



Closure of Koshe & relocation of the garbage disposal site



Potential partners

- Federal Government
 - PM Office
 - Environmental Protection Authority
- City Government
 - Environmental Protection Authority
 - Addis Ababa City Mayor Office
 - Addis Ababa Urban Planning and Information Institute
 - Fire and Emergency Protection Agency
 - Beautification, Parks & Cemetery Development & Administration Agency
- Academia:
 - EiABC & other European and African Universities involved in cluva

Potential partners

- Consultants
 - ARUP
 - AMRA
- NGOs
 - Ethiopian Heritage Trust
 - Forum for Environment
 - Ethiopian Civil Society Network on Climate Change
 - ENDA-Ethiopia
 - Addis Ababa Green and Clean Initiative
- International agencies and donors
 - C-40
 - Clinton Foundation

THANK YOU